

# A REPRESENTATION THEORETIC APPROACH TO KOHNEN'S PLUS SPACE OF MODULAR FORMS OF HALF INTEGRAL WEIGHT

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**ABSTRACT.** In this paper, we define a notion of pseudo-spherical type for the two fold central extension of  $\mathrm{SL}_2(\mathbb{Q}_2)$ . We relate this definition to some results in classical modular forms of half integral weights.

## 1. INTRODUCTION

Let  $\mathbb{Q}$  be the field of rational numbers. For every place  $v$  of  $\mathbb{Q}$  let  $\mathbb{Q}_v$  denote the corresponding local field. Then  $\mathbb{Q}_v = \mathbb{R}$  or  $\mathbb{Q}_p$  for a prime  $p$ . The group  $\mathrm{SL}_2(\mathbb{Q}_v)$  has a non-trivial two-fold central extension

$$(1) \quad 1 \rightarrow \mu_2 \rightarrow G(\mathbb{Q}_v) \rightarrow \mathrm{SL}_2(\mathbb{Q}_v) \rightarrow 1$$

where  $\mu_2 = \{\pm 1\}$ . Recall that an irreducible representation of  $G(\mathbb{Q}_v)$  is called genuine if the central subgroup  $\mu_2$  acts faithfully on it. Gelbart's book [G2] contains a basic theory of genuine representations of  $G(\mathbb{R})$  and  $G(\mathbb{Q}_p)$  for  $p \neq 2$ . Our intent is to develop a theory in the case of  $G(\mathbb{Q}_2)$ . The main difference between  $G(\mathbb{Q}_2)$  and  $G(\mathbb{Q}_p)$  for  $p \neq 2$  lies in the fact that the central extension splits over  $\mathrm{SL}_2(\mathbb{Z}_p)$  for  $p \neq 2$ . In particular, we have a subgroup  $K_p \subseteq G(\mathbb{Q}_p)$  isomorphic to  $\mathrm{SL}_2(\mathbb{Z}_p)$  under the natural projection from  $G(\mathbb{Q}_p)$  to  $\mathrm{SL}_2(\mathbb{Q}_p)$  for every  $p \neq 2$ . A genuine representation  $\pi$  of  $G(\mathbb{Q}_p)$  is called *unramified* if it contains a non-zero  $K_p$ -fixed vector.

Assume now that  $p = 2$ . Let  $K$  denote the full inverse image of  $\mathrm{SL}_2(\mathbb{Z}_2)$  in  $G(\mathbb{Q}_2)$ . In this case the central extension splits over a smaller subgroup. More precisely, we have a subgroup  $K_1(4) \subseteq K$  isomorphic to the subgroup of  $\mathrm{SL}_2(\mathbb{Z}_2)$  given by the following congruence:

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \pmod{4}$$

In this paper we completely describe genuine irreducible representations of  $G(\mathbb{Q}_2)$  containing non-zero  $K_1(4)$ -fixed vectors. More precisely, in Section 3, we describe a Hecke algebra  $H(\gamma)$  which captures the structure of all representations generated by  $K_1(4)$ -fixed vectors and with a fixed central character  $\gamma$ . In Section 4 we show that  $H(\gamma)$  is isomorphic to the Iwahori-Matsumoto Hecke algebra for  $\mathrm{PGL}_2(\mathbb{Q}_2)$ . In this way we get a correspondence between (some) representations of  $G(\mathbb{Q}_2)$  and representations of  $\mathrm{PGL}_2(\mathbb{Q}_2)$ . We call this correspondence a local Shimura correspondence.

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In Section 5 we show that the compact group  $K$  has exactly two irreducible genuine representations, with the fixed central character  $\gamma$ , containing non-zero  $K_1(4)$ -fixed vectors. These representations are denoted by  $V(2)$  and  $V(-1)$  and have dimensions 2 and 4, respectively. We show that a representation  $\pi$  of  $G(\mathbb{Q}_2)$  has  $V(2)$  as a  $K$ -type if and only if it corresponds to an unramified representation of  $\mathrm{PGL}_2(\mathbb{Q}_2)$ , by the local Shimura correspondence. Thus, it is natural to define unramified representations of  $G(\mathbb{Q}_2)$  to be those that contain  $V(2)$  as a  $K$ -type, and we call  $V(2)$  a pseudo-spherical type.

We should point out that the center of  $G(\mathbb{Q}_2)$  is a cyclic group of order 4. Thus, we have two different genuine central characters  $\gamma$  and two classes of unramified representations. This is analogous to the case of the real group  $G(\mathbb{R})$ , where the weights  $-1/2$  and  $1/2$  are called pseudo-spherical types.

We then apply our local results in a global setting in Section 8. Let  $\mathbb{A}$  be the ring of adeles and let  $G(\mathbb{A})$  be the two-fold cover of  $\mathrm{SL}_2(\mathbb{A})$ . Let  $r > 1$  be an odd integer. Let  $\pi = \otimes \pi_v$  be a genuine cuspidal automorphic representation such that

- $\pi_\infty$  is a holomorphic discrete series representation with the lowest weight  $r/2$ .
- $\pi_p$  is unramified for all  $p \neq 2$ .
- $\pi_2$  contains a non-zero  $K_1(4)$ -fixed vector.

Every such  $\pi$  corresponds to a Hecke eigenspace in  $S_{r/2}(\Gamma_0(4))$ , the space of cuspidal modular forms of weight  $r/2$ . Roughly speaking, a function  $f = \otimes f_v$  in  $\pi$  such that  $f_\infty$  is a lowest weight vector in  $\pi_\infty$ ,  $f_p$  is  $K_p$ -fixed and  $f_2$  is  $K_1(4)$ -fixed, gives naturally a modular form in  $S_{r/2}(\Gamma_0(4))$ . Since the space of  $K_1(4)$ -fixed vectors in  $\pi_2$  is 2-dimensional, unless  $\pi_2$  is a Steinberg representation, the cuspidal automorphic representation  $\pi$  gives rise to a two-dimensional Hecke eigenspace in  $S_{r/2}(\Gamma_0(4))$ . We can pick a line in this subspace by taking  $f_2$  to be in the  $K$ -type isomorphic to  $V(2)$ . In this way we get a representation-theoretic description of Kohnen's plus space  $S_{r/2}^+(\Gamma_0(4))$  [Ko]. Finally, we show that the global Shimura correspondence is compatible with our local Shimura correspondence at the place  $p = 2$ .

## 2. DOUBLE COVER OF $\mathrm{SL}_2(\mathbb{Q}_v)$

We will describe the double cover  $G(\mathbb{Q}_v)$  in (1). If we fix a section  $\mathbf{s} : \mathrm{SL}_2(\mathbb{Q}_v) \rightarrow G(\mathbb{Q}_v)$  then  $G(\mathbb{Q}_v)$  can be identified with the set  $\mathrm{SL}_2(\mathbb{Q}_v) \times \mu_2$ , and the group law on  $G(\mathbb{Q}_v)$  is given by

$$(g_1, \epsilon_1)(g_2, \epsilon_2) = (g_1 g_2, \epsilon_1 \epsilon_2 \sigma_v(g_1, g_2))$$

where  $\sigma_v(g_1, g_2)$  is a cocycle which depends on  $\mathbf{s}$ . Following [G2] we make the following choice of the cocycle  $\sigma_v$ . Let  $(, )_v$  be the Hilbert symbol over  $\mathbb{Q}_v$ . For  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Q}_v)$  we define

$$x(g) = \begin{cases} c & \text{if } c \neq 0, \\ d & \text{if } c = 0; \end{cases} \quad \text{and} \quad s(g) = \begin{cases} (c, d)_v & \text{if } v \text{ is a finite prime, } cd \neq 0 \\ & \text{and } \mathrm{ord}(c) \text{ is odd,} \\ 1 & \text{otherwise.} \end{cases}$$

Then

$$\sigma_v(g_1, g_2) = (x(g_1 g_2) x(g_1), x(g_1 g_2) x(g_2))_v s(g_1) s(g_2) s(g_1 g_2).$$

An advantage of this particular section is that  $K_p = \mathbf{s}(\mathrm{SL}_2(\mathbb{Z}_p))$  is a subgroup in  $G(\mathbb{Q}_p)$  if  $p \neq 2$ . If  $p = 2$ , we define

$$K_1(4) = \left\{ \left( \begin{pmatrix} a & b \\ c & d \end{pmatrix}, 1 \right) \in \mathrm{SL}_2(\mathbb{Z}_2) \times \{\pm 1\} : a \in 1 + 4\mathbb{Z}_2, c \in 4\mathbb{Z}_2 \right\}.$$

By Proposition 2.14 in [G2],  $K_1(4)$  is a compact subgroup of  $G(\mathbb{Q}_2)$ .

A smooth representation of  $G(\mathbb{Q}_v)$  is called *genuine* if  $\mu_2$  acts non-trivially. If  $p$  is an odd prime number, a smooth genuine representation of  $G(\mathbb{Q}_p)$  is called *unramified* if it contains a vector fixed by  $K_p$ . A vector fixed by  $K_p$  is called a *spherical* vector.

If  $p = 2$ , a smooth genuine representation is called *tamely ramified* if it contains a vector fixed by  $K_1(4)$ . Unfortunately  $\mathrm{SL}_2(\mathbb{Z}_2)$  does not split in  $G(\mathbb{Q}_2)$  so we could not define spherical vectors in the same manner as those for odd primes. The objective of this paper is to motivate and define spherical vectors of genuine representations of  $G(\mathbb{Q}_2)$ .

We set up some notations for the later sections. For  $u \in \mathbb{Q}_v$  and  $t \in \mathbb{Q}_v^\times$ , we define the following elements in  $\mathrm{SL}_2(\mathbb{Q}_v)$ :

$$\underline{x}(u) = \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix}, \underline{y}(u) = \begin{pmatrix} 1 & 0 \\ u & 1 \end{pmatrix}, \underline{w}(t) = \begin{pmatrix} 0 & t \\ -t^{-1} & 0 \end{pmatrix} \text{ and } \underline{h}(t) = \begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix}.$$

Let  $x(u) = \mathbf{s}(\underline{x}(u))$ ,  $y(u) = \mathbf{s}(\underline{y}(u))$ ,  $w(t) = \mathbf{s}(\underline{w}(t))$  and  $h(t) = \mathbf{s}(\underline{h}(t))$  in  $G(\mathbb{Q}_v)$ . Note that

$$h(t)h(s) = h(ts)(t, s)_v.$$

Let  $N = \{x(u) : u \in \mathbb{Q}_v\}$ ,  $\bar{N} = \{y(u) : u \in \mathbb{Q}_v\}$  and  $T$  be the subgroup of  $G$  generated by elements  $h(t)$ .

### 3. HECKE ALGEBRA AT $p = 2$

We fix  $p = 2$  throughout Sections 3 to 6. We will denote  $G(\mathbb{Q}_2)$  by  $G$  and  $K_1(4)$  by  $K_1$ . The objective of these sections is to classify genuine representations of  $G$  containing a non-zero vector fixed by  $K_1$ .

Let  $M$  be the center of  $G$ . It is a cyclic group of order 4 generated by  $h(-1)$ . (Note that  $h(-1)h(-1) = (-1, -1)_2 = -1 \in \mu_2$ .) Thus, a genuine central character  $\gamma$  is determined by its value on  $h(-1)$ , which is a fourth root of 1. Let  $K$  and  $K_0$  be the open compact subgroups in  $G$  equal to the inverse images of  $\mathrm{SL}_2(\mathbb{Z}_2)$  and

$$\left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z}_2) : c \in 4\mathbb{Z}_2 \right\}$$

respectively. Let  $K(4) \subset K_1$  denote the principal congruence subgroup. It is the image under the section  $\mathbf{s}$  of the subgroup of  $\mathrm{SL}_2(\mathbb{Z}_2)$  consisting of matrices congruent to 1 modulo 4. We have  $K \supset K_0 \supset K_1 \supset K(4)$  and  $K_0 = M \times K_1$ . We extend the central character  $\gamma$  to  $K_0$ , so that it is trivial on  $K_1$ . Given a smooth representation  $(\pi, V)$  of  $G$ , we denote

$$V^\gamma := \{v \in V : \pi(k_0)v = \gamma(k_0)v \text{ for all } k_0 \in K_0\}.$$

Let  $\mathcal{R}(G, \gamma)$  denote the category of admissible smooth (necessarily genuine) representations  $V$  of  $G$  such that  $V^\gamma$  generates  $V$  as a  $G$ -module.

Next we define the corresponding Hecke algebra. Let  $C_c(G)$  denote the set of locally constant, compactly supported functions on  $G$ . Let

$$H(\gamma) = \{f : C_c(G) : f(k_0 g k'_0) = \bar{\gamma}(k_0) f(g) \bar{\gamma}(k'_0) \text{ for all } k_0, k'_0 \in K_0\}.$$

For  $f_1, f_2 \in H(\gamma)$ , we define

$$f_1 \cdot f_2(g_0) = \int_G f_1(g) f_2(g^{-1} g_0) dg = \int_G f_1(g_0 g) f_2(g^{-1}) dg$$

where  $dg$  is the Haar measure on  $G$  such that the measure of  $K_0$  is 1. Then  $H(\gamma)$  is a  $\mathbb{C}$ -algebra. For  $f \in H(\gamma)$  and  $v \in V$ , we have

$$\pi(f)v = \int_G f(g) \pi(g)v dg \in V^\gamma.$$

In this way  $V^\gamma$  is a left  $H(\gamma)$ -module. Let  $\mathcal{R}(H(\gamma))$  denote the category of finite dimensional left  $H(\gamma)$ -modules. We have a functor  $A : \mathcal{R}(G, \gamma) \rightarrow \mathcal{R}(H(\gamma))$  given by  $V \mapsto V^\gamma$ . Since the group  $K_0$  has a triangular decomposition

$$K_0 = (K_0 \cap \bar{N})(K_0 \cap T)(K_0 \cap N)$$

the functor  $A$  is an equivalence of categories. This follows, in essence, from [Ca], Corollary 3.3.6 (see also [Bo] and Theorem 4.2 in [BZ]).

Our immediate goal is to understand the structure of  $H(\gamma)$ . The character  $\gamma$  of the center  $M$  extends to a character  $\gamma$  of  $T$  which is trivial on  $K_1 \cap T$  and  $\gamma(h(2^n)) = 1$  for all  $n \in \mathbb{Z}$ . Let us abbreviate

$$\gamma(t) = \gamma(h(t)).$$

We define

$$\zeta = \frac{1 + \gamma(-1)}{\sqrt{2}}.$$

Note that  $\zeta$  is a primitive 8-th root of 1. The character  $\gamma$  of  $T$  is invariant under conjugation by  $w = w(1)$ . We can now extend the character  $\gamma$  from  $T$  to the normalizer  $N_G(T)$  by defining  $\gamma(w) = \zeta$ .

We define some functions in  $H(\gamma)$ . For  $g$  in  $N_G(T)$  we set  $X_g$  to be the function supported on  $K_0 g K_0$  such that

$$X_g(k_0 g k'_0) = \bar{\gamma}(k_0) \bar{\gamma}(g) \bar{\gamma}(k'_0)$$

for all  $k_0, k'_0 \in K_0$ . Note that this definition depends only on the image of  $g$  in the affine Weyl group  $W_a := N_G(T)/(T \cap K_0)$ .

**Proposition 1.** *Functions  $X_g$  for  $g$  in  $W_a$  form a basis of  $H(\gamma)$ .*

*Proof.* We need first to determine the  $K_0$ -double cosets in  $G$ . This can be easily determined in  $\text{SL}_2(\mathbb{Q}_2)$  using the row-column reduction. In addition to  $h(2^n)$  and  $w(2^{-n})$  the double coset representatives are:

$$y(2), h(2^n)y(2), y(2)h(2^{-n}), y(2)w(2^{-n}), w(2^{-n})y(2) \text{ and } y(2)w(2^{-n})y(2)$$

where  $n \geq 1$  in all cases. We claim that the Hecke algebra is not supported on these cosets.

**Lemma 2.** *The commutator of  $x(2)$  and  $y(2)$  modulo the principal congruence subgroup  $K(4)$  is equal to  $-1 \in \mu_2$ .*

*Proof.* This can be easily checked using the multiplication rule. It also follows from applying Corollary 2.9 in [St] to the ring  $A = \mathbb{Z}/4\mathbb{Z}$ ,  $\square$

Now we can easily finish the proof of proposition. Indeed if  $f$  is in  $H(\gamma)$  then

$$f(y(2)) = f(y(2)x(2)) = -f(x(2)y(2)) = -f(y(2))$$

by the above lemma. This implies that  $f$  must vanish on  $y(2)$ . Other cases are dealt with in the same manner.  $\square$

Let  $\ell : N_G(T) \rightarrow \mathbb{Z}$  be defined by  $\ell(g) = \log_2(n)$  where  $n$  is the number of left (or right)  $K_0$ -cosets in the double coset  $K_0gK_0$ . In other words, the volume of  $K_0gK_0$  is  $2^{\ell(g)}$ . For example,  $w(2^{-1})$  normalizes  $K_0$ , so  $\ell(w(2^{-1})) = 1$ .

**Proposition 3.** *For every integer  $n$  we have  $\ell(h(2^n)) = 2|n|$  and  $\ell(w(2^{-n})) = 2|1 - n|$ . More precisely, we have the following decompositions of double co-sets:*

(i) If  $n \geq 0$ ,

$$K_0h(2^n)K_0 = \bigcup_{u \in \mathbb{Z}/2^{2n}\mathbb{Z}} x(u)h(2^n)K_0 = \bigcup_{u \in \mathbb{Z}/2^{2n}\mathbb{Z}} K_0h(2^n)y(4u).$$

(ii) If  $n \geq 1$ ,

$$K_0h(2^{-n})K_0 = \bigcup_{u \in \mathbb{Z}/2^{2n}\mathbb{Z}} y(4u)h(2^{-n})K_0 = \bigcup_{u \in \mathbb{Z}/2^{2n}\mathbb{Z}} K_0h(2^{-n})x(u).$$

(iii) If  $n \geq 0$ ,

$$K_0w(2^n)K_0 = \bigcup_{u \in \mathbb{Z}/2^{2n+2}\mathbb{Z}} x(u)w(2^n)K_0 = \bigcup_{u \in \mathbb{Z}/2^{2n+2}\mathbb{Z}} K_0w(2^n)x(u).$$

(iv) If  $n \geq 1$ ,

$$K_0w(2^{-n})K_0 = \bigcup_{u \in \mathbb{Z}/2^{2n-2}\mathbb{Z}} y(4u)w(2^{-n})K_0 = \bigcup_{u \in \mathbb{Z}/2^{2n-2}\mathbb{Z}} K_0w(2^{-n})y(4u).$$

*Proof.* This is an easy consequence of the decomposition  $K_0 = (K_0 \cap \bar{N})(K_0 \cap T)(K_0 \cap N)$ . Details are left to the reader.  $\square$

We record the following tautological lemma:

**Lemma 4.** *Let  $g_1$  and  $g_2$  be two elements in  $N_G(T)$ . If  $\ell(g_1g_2) = \ell(g_1) + \ell(g_2)$  then  $X_{g_1} \cdot X_{g_2} = X_{g_1g_2}$ .*  $\square$

Let

$$\begin{cases} T_n = X_{h(2^n)} \\ U_n = X_{w(2^{-n})}. \end{cases}$$

**Proposition 5.** *Let  $T_w = \sqrt{2}^{-1}U_0$ . We have the following identities where  $m, n$  are any integers unless specified otherwise.*

- (i)  $(T_w + 1)(T_w - 2) = 0$ .
- (ii)  $U_1 \cdot U_1 = 1$ .
- (iii) *If  $m, n \geq 0$ , or  $m, n \leq 0$  then  $T_m \cdot T_n = T_{m+n}$ .*
- (iv)  $U_1 \cdot T_n = U_{n+1}$  and  $T_n \cdot U_1 = U_{1-n}$ .
- (v)  $U_1 \cdot U_n = T_{n-1}$  and  $U_n \cdot U_1 = T_{1-n}$ .

*Proof.* All statements except the first follow from Lemma 4. For (i) we need to show  $T_w^2 = T_w \cdot T_w = T_w + 2$ . Since  $T_w^2$  is supported in  $K$  this is equivalent to  $T_w^2(1) = 2$  and  $T_w^2(w(1)) = T_w(w(1))$ . Suppose  $f_1, f_2 \in H(\gamma)$  where  $f_1$  is supported on  $K_0 r K_0 = \bigcup_{i=1}^s r_i K_0$  (disjointed union). Then

$$f_1 \cdot f_2(g) = \sum_{i=1}^s f_1(r_i) f_2(r_i^{-1}g).$$

We can apply this observation to  $f_1 = f_2 = T_w$ . Proposition 3 (the case  $n = 0$  in (iii)) gives a decomposition of  $K_0 w(1) K_0$  into single cosets. Hence

$$T_w^2(g) = \sum_{u \bmod 4} T_w(x(u)w(1)) \cdot T_w(w(-1)x(-u)g).$$

If  $g = 1$ , this gives  $T_w^2(1) = 4T_w(w(1)) \cdot T_w(w(-1))$ . Since  $T_w(w(1)) = 2^{-1/2}\bar{\zeta}$  and  $T_w(w(-1)) = 2^{-1/2}\zeta$ , we obtain that  $T_w^2(1) = 2$ . If  $g = w(1)$ , then

$$T_w^2(w(1)) = T_w(w(1)) \sum_{u \bmod 4} T_w(y(u)).$$

If  $u = 0$  or  $2$  then  $y(u)$  is not in  $K_0 w(1) K_0$  and  $T_w(y(u)) = 0$ . If  $u = \pm 1$ , then  $y(u) = x(u)w(-u)x(u)$  and we can rewrite

$$T_w^2(w(1)) = T_w(w(1))[T_w(w(1)) + T_w(w(-1))] = T_w(w(1)).$$

This proves (i). □

Here is the main result of this section.

**Theorem 6.** *The Hecke algebra  $H(\gamma)$  is generated by  $T_w$  and  $U_1$  as an abstract  $\mathbb{C}$ -algebra modulo the relations*

- (a)  $(T_w - 2)(T_w + 1) = 0$  and
- (b)  $U_1^2 = 1$ .

*Proof.* Suppose  $H$  is the abstract algebra generated by  $U_0 = \sqrt{2}T_w$  and  $U_1$  modulo the relations (a) and (b). We have a natural homomorphism of  $\mathbb{C}$ -algebras  $B : H \rightarrow H(\gamma)$ . By Proposition 1,  $H(\gamma)$  is spanned by  $T_n$  and  $U_n$  and by Proposition 5, these elements are generated by  $U_0$  and  $U_1$ . This shows that  $B$  is surjective. It remains to show that  $B$  is injective. Suppose  $h \in H$  is in the kernel of  $B$ . Since  $U_0$  and  $U_1$  satisfy quadratic relations,  $h = \sum_i c_i u_i$  where  $c_i \in \mathbb{C}$  and  $u_i \in H$  is of the form  $U_1 U_0 U_1 U_0 \dots$  or  $U_0 U_1 U_0 U_1 \dots$ . Since  $U_0 U_1 = T_1$ ,  $B(u_i)$  is either  $T_n$ ,  $T_n U_1 = U_{1-n}$ ,  $U_1 T_n = U_{n+1}$ , or  $U_1 T_n U_1 = T_{-n}$ . These

elements have disjointed supports as functions in  $H(\gamma)$ . Therefore  $B(h) = \sum_i c_i B(u_i) = 0$  implies that  $c_i = 0$  and  $h = 0$ . This proves that  $B$  is an injection and Theorem 6.  $\square$

We now give two consequences of Theorem 6:

**Proposition 7.** *The element  $Z := \frac{T_1}{2} + (\frac{T_1}{2})^{-1}$  belongs to the center of  $H(\gamma)$ .*

*Proof.* By Proposition 5,  $T_1$  and  $U_1$  generate  $H(\gamma)$ . Clearly  $Z$  commutes with  $T_1$ . It suffices to show that  $Z$  commutes with  $U_1$ . Since  $T_1 = U_0 U_1$  we can use quadratic relations satisfied by  $U_0$  and  $U_1$  to write

$$(2) \quad 2Z = U_0 U_1 + U_1 U_0 - 2^{1/2} U_1.$$

Hence  $Z$  commutes with  $U_1$ . This proves the proposition.  $\square$

**Proposition 8.** *For  $n \geq 0$ ,  $T_n$  is an invertible element in the algebra  $H(\gamma)$ .*

*Proof.* Note that the quadratic relations satisfied by  $U_0$  and  $U_1$  imply that  $U_0$  and  $U_1$  are invertible. Since  $T_1 = U_0 U_1$ ,  $T_1$  is also invertible. Hence  $T_n = T_1^n$  is invertible.  $\square$

Suppose  $(\pi, V)$  is a representation in  $\mathcal{R}(G, \gamma)$ . Let  $(V_N)^\gamma = \{v \in V_N : \pi_{V_N}(t)v = \gamma(t)v \text{ for all } t \in K_0 \cap T\}$ . The invertibility of  $T_n$  implies (see Lemma 4.7 in [Bo]):

**Corollary 9.** *Suppose  $(\pi, V)$  is a representation in  $\mathcal{R}(G, \gamma)$ . Then the canonical map  $V^\gamma \rightarrow (V_N)^\gamma$  is a bijection. In particular  $V_N$  is nonzero, and  $V$  cannot be a supercuspidal representation.*  $\square$

#### 4. LOCAL SHIMURA CORRESPONDENCE

Let  $G' = \mathrm{PGL}_2(\mathbb{Q}_2)$ . Let  $I$  be its Iwahori subgroup and let  $H'$  be its Iwahori-Hecke algebra. Let  $T'_w$  and  $U'_1$  denote the characteristic functions of

$$I \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} I \text{ and } I \begin{pmatrix} 0 & 1 \\ p & 0 \end{pmatrix} I$$

respectively. Then  $H'$  is the abstract  $\mathbb{C}$ -algebra generated by  $T'_w$  and  $U'_1$  satisfying the same relations as Theorem 6 (a) and (b) (see [Ma]). This gives the next corollary.

**Corollary 10.** *The Hecke algebras  $H(\gamma)$  and  $H'$  are isomorphic  $\mathbb{C}$ -algebras.*  $\square$

Let  $\mathcal{R}(H')$  denote the category of finite dimensional representations of  $H'$ . Let  $\mathcal{R}(G', I)$  denote the category of admissible smooth representations  $V$  of  $G'$  such that  $V^I$  generates  $V$  as a  $G'$ -module. By [Bo] and [BZ], the functor  $V \mapsto V^I$  is an equivalence of categories from  $\mathcal{R}(G', I)$  to  $\mathcal{R}(H)$ . The isomorphism in Corollary 10 establishes an equivalence of categories between  $\mathcal{R}(H(\gamma))$  and  $\mathcal{R}(H')$ . Hence the following four categories are equivalent:

$$\mathcal{R}(G, \gamma) \simeq \mathcal{R}(H(\gamma)) \simeq \mathcal{R}(H') \simeq \mathcal{R}(G', I).$$

If  $V$  is a representation in  $\mathcal{R}(G, \gamma)$ , then we call the corresponding representation in  $\mathcal{R}(G', I)$  the *local Shimura lift* of  $V$ . We denote it by  $\mathrm{Sh}(V)$ .

**Proposition 11.** *Let  $V$  be a representation in  $\mathcal{R}(G, \gamma)$ . Then the following statements are equivalent.*

- (i) The local Shimura lift  $\text{Sh}(V)$  is a spherical representation of  $G'$ .
- (ii) The action of  $T'_w$  on  $\text{Sh}(V)^I$  has an eigenvalue 2.
- (iii) The action of  $T_w$  on  $V^\gamma$  has an eigenvalue 2.

*Proof.* The projection map to  $G'(\mathbb{Z}_2)$ -fixed vectors in  $\text{Sh}(V)$  is given by  $\frac{1}{3}(T'_w + 1)$ , since  $T'_w + 1$  is the characteristic function of  $G'(\mathbb{Z}_2)$  and the volume of  $G'(\mathbb{Z}_2)$  is 3. It follows that a  $G'(\mathbb{Z}_2)$ -fixed vector is an eigenvector of  $T'_w$  with eigenvalue 2. This proves the equivalence of (i) and (ii). The equivalence of (ii) and (iii) follows from Corollary 10.  $\square$

The above proposition motivates the following definition.

**Definition.** Let  $V$  be a smooth representation of  $G$ . An eigenvector of  $T_w$  in  $V^\gamma$  with an eigenvalue 2 is called a  $\gamma$ -spherical vector. The representation is called a  $\gamma$ -unramified or  $\gamma$ -spherical representation if it contains a  $\gamma$ -spherical vector.

## 5. PSEUDO-SPHERICAL REPRESENTATION OF $K$ AT $p = 2$

We retain the notations in Sections 3 and 4 where  $p = 2$ . In the previous section we defined a representation  $V$  of  $G$  to be unramified if  $V^\gamma \neq 0$  and  $T_w$  has an eigenvalue 2 on  $V^\gamma$ . In this section we shall reinterpret this condition in terms of representations of  $K$ . We shall see that  $K$  has only two irreducible representations  $E$  such that  $E^\gamma \neq 0$ . For both representations  $E^\gamma$  is one dimensional and they are distinguished by the action of  $T_w$  on  $E^\gamma$ . That eigenvalue can be either 2 or  $-1$ , so we shall use the eigenvalue to denote the representations by  $V(2)$  and  $V(-1)$ . Their dimensions are 2 and 4, respectively. Thus, a representation of  $G$  is unramified if and only if it contains the two-dimensional  $K$ -type  $V(2)$ , which we may call a pseudo-spherical type.

If  $E^\gamma \neq 0$  then, by Frobenius reciprocity, the  $K$ -type  $E$  is a summand of a six dimensional induced representation

$$I_K(\gamma) := \text{Ind}_{K_0}^K \gamma = \{\phi : K \rightarrow \mathbb{C} : \phi(k_0 k) = \gamma(k_0) \phi(k) \text{ for all } k \in K, k_0 \in K_0\}.$$

Here the group  $K$  acts on it by right translation. We denote this action by  $\pi_R$ . Let  $H_K(\gamma)$  denote the subalgebra of  $H(\gamma)$  consisting of functions supported on  $K$ . We have the action of  $H(\gamma)$  on  $I_K(\gamma)^\gamma$ , also denoted by  $\pi_R$ . By Proposition 1,  $H_K(\gamma) = \mathbb{C}1 \oplus \mathbb{C}T_w$  and it is a commutative subalgebra. The algebra  $H_K(\gamma)$  is anti-isomorphic to the algebra  $H_K(\bar{\gamma})$  via the map  $f \mapsto \hat{f}$  where

$$\hat{f}(g) = f(g^{-1}).$$

For  $f \in H_K(\bar{\gamma})$  and  $\phi \in I_K(\gamma)$ , we set

$$(\pi_L(f)\phi)(g) = \int_K f(k) \phi(k^{-1}g) dk \text{ for all } g \in K.$$

This action commutes with the right action  $\pi_R$  of  $K$  on  $I_K(\gamma)$  and

$$H_K(\bar{\gamma}) = \text{End}_K(I_K(\gamma)).$$

Note that  $I_K(\gamma)^\gamma = H(\bar{\gamma})$ . The actions  $\pi_L$  and  $\pi_R$  of  $H(\bar{\gamma})$  and  $H(\gamma)$  on  $I_K(\gamma)^\gamma = H(\bar{\gamma})$  are related by  $\pi_L(\hat{f}) = \pi_R(f)$ .



We define the functions  $F_{-1} := \frac{1}{3}(2 - T_w)$  and  $F_2 := \frac{1}{3}(T_w + 1)$  in  $H_K(\gamma)$ . Then  $\{F_{-1}, F_2\}$  is a basis of idempotents of  $H_K(\gamma)$ .

For  $j = -1, 2$ , let  $V(j) = \pi_L(\hat{F}_j)I_K(\gamma)$ . In other words  $V(j)$  is the eigenspace of  $\pi_L(\hat{T}_w)$  on  $I_K(\gamma)$  corresponding to the eigenvalue  $j$ . Note that  $\hat{F}_j \in V(j)$  and  $\pi_R(T_w)\hat{F}_j = j\hat{F}_j$ . In particular  $\hat{F}_2$  is a  $\gamma$ -spherical vector.

**Proposition 12.** (i) We have  $I_K(\gamma) = V(-1) \oplus V(2)$  where each summand is an irreducible representation of  $K$ .

(ii) We have  $\dim V(-1) = 4$  and  $\dim V(2) = 2$ .

(iii) The  $K$ -submodule  $V(2)$  contains a  $\gamma$ -spherical vector  $\hat{F}_2$ . The space of  $\gamma$ -spherical vectors is one dimensional.

(iv) The  $K$ -submodule  $V(-1)$  does not have any  $\gamma$ -spherical vector.

*Proof.* Since  $\dim \text{End}(I_K(\gamma)) = 2$ , both  $V(-1)$  and  $V(2)$  are irreducible  $K$ -modules. This proves (i).

In order to compute the dimensions of  $V(-1)$  and  $V(2)$  we need the following lemma.

**Lemma 13.** The operator  $\pi_L(\hat{T}_w)$  as an element in  $\text{End}_K(I_K(\gamma))$  has trace 0.

*Proof.* For  $g \in K$ , let  $\phi_g \in I_K(\gamma)$  such that  $\phi_g$  is supported on  $K_0g$  and  $\phi_g(k_0g) = \gamma(k_0)$ . Let  $S$  be a set of representatives of  $K_0 \backslash K$ , then  $\{\phi_g : g \in S\}$  is a basis of  $I_K(\gamma)$ . In order to prove the lemma, it suffices to show that  $(\pi_L(\hat{T}_w)\phi_g)(g) = 0$ . Indeed, this shows that the matrix of  $\pi_L(\hat{T}_w)$  in the basis  $\phi_g$  has all diagonal entries equal 0. Note that  $\pi_L(T_w)\phi_g$  is supported on  $K_0w(1)K_0g$ . If  $(\pi_L(\hat{T}_w)\phi_g)(g) \neq 0$ , then  $g \in K_0w(1)K_0g$  and  $1 \in K_0w(1)K_0$ . Since  $K_0 \neq K_0w(1)K_0$ , this is a contradiction. The lemma is proved.  $\square$

We have  $\dim V(2) + \dim V(-1) = \dim I_K(\gamma) = [K : K_0] = 6$ . By the above lemma,  $2 \dim V(2) - \dim V(-1) = 0$ . This implies  $\dim V(-1) = 4$  and  $\dim V(2) = 2$  and proves Proposition 12(ii). We have  $I_K(\gamma)^\gamma = H_K(\bar{\gamma})$  and  $\pi_R(F_j)I_K(\gamma) = \mathbb{C}\hat{F}_j$  for  $j = -1, 2$ . The vector  $\hat{F}_2$  is  $\gamma$ -spherical while  $\hat{F}_{-1}$  is not. This proves Parts (iii) and (iv).  $\square$

**Theorem 14.** A smooth representation  $V$  of  $G$  with central character  $\gamma$  is  $\gamma$ -unramified if and only if there is a nontrivial  $K$ -module homomorphism  $l : V(2) \rightarrow V$ . A vector in  $V$  which is a scalar multiple of  $l(\hat{F}_2)$  is a  $\gamma$ -spherical vector of  $V$ .

*Proof.* A  $\gamma$ -spherical vector in  $V$  would generate a representation of  $K$  where every irreducible  $K$ -submodule is isomorphic to an irreducible submodule of  $I_K(\gamma)$ . Now the theorem follows from Proposition 12.  $\square$

## 6. UNRAMIFIED PRINCIPAL SERIES REPRESENTATIONS AT $p = 2$

In this section, we continue to assume  $p = 2$  and notations as in Sections 3 to 5. We will show that  $\gamma$ -unramified representations appear as submodules of principal series representations.

We recall the character  $\gamma$  of  $T$  in Section 3. Let  $(\pi_s, I(\gamma, s))$  be the normalized induced principal series representation where  $I(\gamma, s)$  is the set of smooth functions  $\phi : G \rightarrow \mathbb{C}$

satisfying

$$\phi(\epsilon x(u)h(t)g) = \epsilon \gamma(t)|t|^{s+1}\phi(g)$$

for all  $\epsilon \in \mu_2$ ,  $u \in \mathbb{Q}_2$  and  $t \in \mathbb{Q}_2^\times$ . The group  $G$  acts by left translation  $(\pi_s(g)\phi)(g') = \phi(g'g)$ .

**Proposition 15.** *An irreducible  $\gamma$ -unramified representation  $V$  is isomorphic to a submodule of some  $I(\gamma, s)$ .*

*Proof.* By Corollary 9,  $(V_N)^\gamma$  is nonzero. Hence there is a nontrivial  $T$ -homomorphism  $V_N \rightarrow \gamma\nu^{s+1}$  for some  $s \in \mathbb{C}$ . Here  $\nu$  is the character  $\nu(\underline{h}(t)) = |t|$ . By Frobenius reciprocity, there is a nontrivial map  $V \rightarrow I(\gamma, s)$  which is an injection because  $V$  is irreducible.  $\square$

We recall that  $K(4)$  is the principal congruence subgroup in  $K_1$ . Restricting functions  $\phi$  in  $I(\gamma, s)$  to  $K$  gives a natural isomorphism of  $K$ -modules

$$l : I_K(\gamma) \rightarrow I(\gamma, s)^{K(4)}.$$

**Theorem 16.** *The  $K$ -types  $V(2)$  and  $V(-1)$  appear with multiplicity one in  $I(\gamma, s)$ . The space  $I(\gamma, s)^\gamma$  is 2-dimensional. It is spanned by  $l(\hat{F}_2)$  and  $l(\hat{F}_{-1})$ .*  $\square$

We will describe a scalar multiple  $\phi_j$  of  $l(\hat{F}_j) \in V_j$  which is more convenient for later calculations. Let  $d_2 = 1$  and  $d_{-1} = -2$ , and define  $\phi_j$  be the unique vector in  $I(\gamma, s)$  whose restriction to  $K$  is given by

$$\phi_j(k) = \begin{cases} d_j \gamma(k) & \text{if } k \in K_0, \\ 2^{-1/2} \zeta \gamma(k_0 k'_0) & \text{if } k = k_0 w(1) k'_0 \in K_0 w(1) K_0, \\ 0 & \text{otherwise.} \end{cases}$$

We define an intertwining map  $M(s) : I(\gamma, s) \rightarrow I(\gamma, -s)$  by

$$(M(s)\phi)(g) = \int_{\mathbb{Q}_2} \phi(w(1)x(u)g) du$$

where  $g$  is in  $G$  and  $du$  is the Haar measure on  $\mathbb{Q}_2$  such that the measure of  $\mathbb{Z}_2$  is 1.

**Proposition 17.** *We have*

$$M(s)\phi_2 = \frac{\zeta}{\sqrt{2}} \left( \frac{1 - \frac{1}{2}(2^{-2s})}{1 - 2^{-2s}} \right) \phi_2 \quad \text{and} \quad M(s)\phi_{-1} = -\frac{\zeta}{2\sqrt{2}} \left( \frac{1 - 2(2^{-2s})}{1 - 2^{-2s}} \right) \phi_{-1}.$$

*Proof.* Since the vector  $\phi_j$  is unique up to a scalar in  $I_K(\gamma)$ ,  $M(s)\phi_j = c\phi_j$  for some  $c \in \mathbb{C}$ . It remains to determine  $c = d_j^{-1}M(s)\phi_j(1)$ .

If  $u \notin \mathbb{Z}_2$ , then  $w(1)x(u) = (-1, u)_2 \cdot x(-u^{-1})h(u^{-1})y(u^{-1})$ . We write  $u^{-1} = 2^m v$  where  $v \in \mathbb{Z}_2^\times$  and  $m \geq 1$ . Recall that  $\gamma(t) = \gamma(h(t))$ . Then

$$\begin{aligned} M(s)\phi_j(1) &= \int_{\mathbb{Z}_2} \phi_j(w(1)x(u)) du + \sum_{m=1}^{\infty} 2^{m-1} \int_{\mathbb{Z}_2^\times} (-1, 2^m v)_2 \phi_j(h(2^m v)y(2^m v)) d^\times v \\ &= 2^{-1/2} \zeta + \sum_{m=1}^{\infty} 2^{-ms-1} \int_{\mathbb{Z}_2^\times} (-1, v)_2 \gamma(2^m v) \phi_j(y(2^m v)) d^\times v \end{aligned}$$

where  $d^\times v$  is the Haar measure of  $\mathbb{Z}_2^\times$  with total measure 1. Now  $\phi_j(y(2^m v)) = 0$  if  $m = 1$  and it is equal to 1 if  $m \geq 2$ . Since  $\gamma(2^m v) = \gamma(2^m)\gamma(v)(2^m, v)_2$  and  $\gamma(2^m) = 1$ , we can rewrite

$$\begin{aligned} M(s)\phi_j(1) &= 2^{-1/2}\zeta + d_j \sum_{m=2}^{\infty} 2^{-ms-1} \int_{\mathbb{Z}_2^\times} (2, v)_2^m (-1, v)_2 \gamma(v) d^\times v \\ &= 2^{-1/2}\zeta + d_j \sum_{m=2}^{\infty} 2^{-ms-1} \frac{1}{4} \sum_{v \in (\mathbb{Z}/8\mathbb{Z})^\times} (2, v)_2^m (-1, v)_2 \gamma(v). \end{aligned}$$

The sum  $\sum_{v \in (\mathbb{Z}/8\mathbb{Z})^\times}$  on the right is zero if  $m$  is odd, and equals  $\sqrt{2}\zeta$  if  $m$  is even. Finally adding up all the terms gives the constant  $c$  and the lemma.  $\square$

Let  $s_0 = \frac{1}{2}$  or  $\frac{1}{2} + \frac{i\pi}{\log 2}$ . From the above proposition,  $\phi_{-1}$  lies in the kernel of  $M(s_0)$  so  $I(\gamma, s_0)$  is reducible. Indeed  $I(\gamma, s_0)$  has a unique irreducible quotient which is an even Weil representation.

**Definition.** Let  $s_0 = \frac{1}{2}$  or  $\frac{1}{2} + \frac{i\pi}{\log 2}$ . The kernel of  $M(s_0)$  is called the *Steinberg* representation of  $G(\mathbb{Q}_2)$ . We shall denote this representation by  $St(\epsilon)$  where  $\epsilon = \pm 1$  such that  $2^{s_0} = \epsilon\sqrt{2}$ .

We claim that  $St(\epsilon)$  is an irreducible representation of  $G(\mathbb{Q}_2)$ . Indeed by Section 6 in [LS], for every  $s \in \mathbb{C}$ , we have

$$(3) \quad I(\gamma, s)_N^{\text{ss}} \cong \gamma| \cdot |^{s+1} \oplus \gamma| \cdot |^{-s+1}$$

where  $I(\gamma, s)_N^{\text{ss}}$  is the semi-simplification of  $I(\gamma, s)_N$  as a  $T$ -module. Hence  $I(\gamma, s)$  has at most length 2. The claim now follows because  $St(\epsilon)$  is a proper submodule of  $I(\gamma, s_0)$ . Also see Section 7 of [Sa].

**Corollary 18.** *The even Weil representation contains the irreducible  $K$ -module  $V(2)$ . It is a  $\gamma$ -unramified representation. The Steinberg representation contains the irreducible  $K$ -module  $V(-1)$ .*  $\square$

**Proposition 19.** *Let  $Z = \frac{T_1}{2} + (\frac{T_1}{2})^{-1}$  be the central element in the Hecke algebra  $H(\gamma)$  as in Proposition 7. Then  $\pi_s(Z)$  acts on  $I(\gamma, s)^\gamma$  as the scalar  $2^s + 2^{-s}$ .*

*Proof.* By Corollary 9, the natural projection of  $I(\gamma, s)$  on  $I(\gamma, s)_N$  gives an isomorphism of  $I(\gamma, s)^\gamma$  and  $I(\gamma, s)_N$ . From the decomposition of  $K_0 h(2) K_0$  into single  $K_0$ -cosets (Proposition 3(i)) it follows that the action of  $T_1$  on  $I(\gamma, s)^\gamma$  corresponds to the action of  $4 \cdot \pi_{s,N}(h(2))$  on  $I(\gamma, s)_N$ . By (3) the eigenvalues of  $\frac{T_1}{2}$  are  $2^s$  and  $2^{-s}$ . This proves the proposition.  $\square$

**Corollary 20.** *An irreducible  $\gamma$ -unramified representation is uniquely determined by the eigenvalue of the action of  $Z$  on its  $\gamma$ -spherical vector.*

*Proof.* Suppose the irreducible  $\gamma$ -unramified representation is a subquotient of both  $I(\gamma, s)$  and  $I(\gamma, s')$ . Then by Proposition 19,  $2^s + 2^{-s} = 2^{s'} + 2^{-s'}$  which implies  $2^s = 2^{s'}$  or  $2^s = 2^{-s'}$ . By Proposition 17 both  $I(\gamma, s)$  and  $I(\gamma, -s)$  have the same irreducible  $\gamma$ -unramified subquotient. This proves the corollary.  $\square$

**Corollary 21.** *The Steinberg representation  $St(\epsilon)$  corresponds to the one dimensional representation of  $H(\gamma)$  given by  $T_w = -1$  and  $U_1 = -\epsilon$ .*

*Proof.* We know that  $T_w = -1$  on  $St(\epsilon)^\gamma$ . It remains to compute the action of  $U_1$ . Since  $St(\epsilon)$  is a subquotient of  $I(\gamma, s_0)$  where  $2^{s_0} = \epsilon\sqrt{2}$ , the central element  $Z$  acts on  $St(\epsilon)$  by the scalar  $\epsilon(2^{1/2} + 2^{-1/2})$ . By (2) we have  $2^{1/2}Z = T_w U_1 + U_1 T_w - U_1$ . Hence  $U_1 = -\epsilon$  as claimed.  $\square$

Let  $V$  be an irreducible  $\gamma$ -unramified representation. By Proposition 15, we may assume that  $V$  is the unique  $\gamma$ -unramified subquotient of  $I(\gamma, s)$  for some  $s \in \mathbb{C}$ . By Proposition 11, its local Shimura lift  $V' = \text{Sh}(V)$  is an unramified irreducible representation of  $G' = \text{PGL}_2(\mathbb{Q}_2)$ . Let  $B'$  be the Borel subgroup of  $G'$ . We may realize  $V'$  as the unramified irreducible subquotient of the normalized induced principal series representation  $(\pi'_s, I'(t))$  with trivial central character. Here  $I'(t) = \text{Ind}_{B'}^{G'} \omega^t$  (normalized induction) where  $\omega$  is the character

$$\omega \begin{pmatrix} a_1 & 0 \\ 0 & a_2 \end{pmatrix} = |a_1/a_2|.$$

**Theorem 22.** *If  $V$  is the unique  $\gamma$ -unramified irreducible subquotient of  $I(\gamma, s)$ , then its local Shimura lift  $\text{Sh}(V)$  is the unique unramified irreducible subquotient of  $I'(s)$ .*

*Proof.* Assume that  $\text{Sh}(V)$  is a subquotient of  $I'(t)$ . By Proposition 19 the central operator  $Z$  in  $H(\gamma)$  acts on  $I(\gamma, s)^\gamma$  by the scalar  $2^s + 2^{-s}$ . The corresponding operator  $Z'$  in the algebra  $H'$  acts on  $I'(t)$  by  $2^t + 2^{-t}$ . Thus,  $2^s + 2^{-s} = 2^t + 2^{-t}$ . Solving the equation gives  $2^s = 2^t$  or  $2^s = 2^{-t}$ . Both  $I'(t)$  and  $I'(-t)$  have the same irreducible subquotients so we may set  $s = t$ . This proves the theorem.  $\square$

**Corollary 23.** *The principal series representation  $I(\gamma, s)$  is reducible if and only if  $s = \frac{1}{2}$  or  $\frac{1}{2} + \frac{i\pi}{\log 2}$ .*

*Proof.* Let  $V$  be the  $\gamma$ -unramified irreducible subquotient of  $I(\gamma, s)$ . Let  $W$  be the unramified irreducible subquotient of  $I'(s)$ . Then  $V = I(\gamma, s)$  if and only if  $\dim V^\gamma = 2$ . By Theorem 22,  $\dim V^\gamma = \dim W^I$ . Now  $\dim W^I = 2$  if and only if  $I'(s)$  is irreducible. Finally  $I'(s)$  is irreducible if and only if  $s \neq \frac{1}{2}$  and  $\frac{1}{2} + \frac{i\pi}{\log 2}$ .  $\square$

## 7. AUTOMORPHIC FORMS

In this section we first review a connection between automorphic forms and classical modular forms of half integral weight. This is mostly a well known material that can be found in Chapters 2 and 3 of [G2], and in [Wa]. We then transfer the action of the Hecke algebra  $H(\gamma)$  to the setting of classical modular forms.

Let  $\mathbb{A} = \prod_v \mathbb{Q}_v$  be the ring of adeles over  $\mathbb{Q}$ . We recall  $K_p$ ,  $s(g)$  and the cocycle  $\sigma_v$  defined in Section 2. Let  $G(\mathbb{A}) = \text{SL}_2(\mathbb{A}) \times \{\pm 1\}$  as a set. For  $g_1 = (g_{1,v})$ ,  $g_2 = (g_{2,v}) \in \text{SL}_2(\mathbb{A})$  and  $\epsilon_1, \epsilon_2 \in \{\pm 1\}$ , the group law on  $G(\mathbb{A})$  is given by

$$(g_1, \epsilon_1)(g_2, \epsilon_2) = (g_1 g_2, \epsilon_1 \epsilon_2 \sigma(g_1, g_2))$$

where  $\sigma(g_1, g_2) = \prod_v \sigma_v(g_{1,v}, g_{2,v})$ . Then  $\text{pr} : G(\mathbb{A}) \rightarrow \text{SL}_2(\mathbb{A})$  given by  $\text{pr}(g, \epsilon) = g$  is a two-fold cover which splits over the subgroup  $\text{SL}_2(\mathbb{Q})$ . Since  $\text{SL}_2(\mathbb{Q})$  is perfect this splitting is unique and it is given by  $\mathbf{s}_{\mathbb{Q}} : \text{SL}_2(\mathbb{Q}) \rightarrow G(\mathbb{A})$

$$\mathbf{s}_{\mathbb{Q}}(g) = (g, s_{\mathbb{A}}(g))$$

where  $s_{\mathbb{A}}(g) = \prod_v s(g_v)$ .

We also need a description of a maximal compact subgroup in  $G(\mathbb{R})$ . Let

$$\underline{k}(\theta) = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \in \text{SL}_2(\mathbb{R})$$

for  $-\pi < \theta \leq \pi$ . Then  $\underline{K}_{\infty} := \{\underline{k}(\theta) : -\pi < \theta \leq \pi\}$  is a maximal compact subgroup in  $\text{SL}_2(\mathbb{R})$ . Let  $K_{\infty} = \{k(\theta) : -2\pi < \theta \leq 2\pi\}$  where

$$k(\theta) = \begin{cases} (\underline{k}(\theta), 1) & \text{if } -\pi < \theta \leq \pi, \\ (\underline{k}(\theta), -1) & \text{if } -2\pi < \theta \leq -\pi \text{ or } \pi < \theta \leq 2\pi. \end{cases}$$

Then  $K_{\infty}$  is a maximal compact subgroup of  $G(\mathbb{R})$  and  $\text{pr}(K_{\infty}) = \underline{K}_{\infty}$ . If  $r$  is an odd integer, then  $k(\theta) \mapsto e^{i\frac{r}{2}\theta}$  defines a genuine character of  $K_{\infty}$ .

Let  $A_{r/2}(4)$  denote the set of functions  $\varphi$  in  $L^2(\text{SL}_2(\mathbb{Q}) \backslash G(\mathbb{A}))$  satisfying the following properties:

- (1)  $\varphi(gk_1) = \varphi(g)$  for all  $k_1 \in K_1(4) \prod_{p \neq 2, \infty} K_p$ ,
- (2)  $\varphi(gk_0) = \gamma(k_0)\varphi(g)$  for all  $k_0 \in K_0$  in  $G(\mathbb{Q}_2)$  where  $\gamma(-1) = -i^r$ ,
- (3)  $\varphi(gr(\theta)) = e^{i\frac{r}{2}\theta}\varphi(g)$ ,
- (4) as a function on  $G(\mathbb{R})$ ,  $\varphi$  is smooth and satisfies  $\Delta\varphi = -\frac{r}{4}(\frac{r}{4} - 1)\varphi$  where  $\Delta$  is the Casimir operator and
- (5)  $\varphi$  is cuspidal, ie.  $\int_{N(\mathbb{Q}) \backslash N(\mathbb{A})} \varphi(x(u)g)du = 0$  for all  $g \in G(\mathbb{A})$ .

A basis of  $A_{r/2}(4)$  arises from cuspidal automorphic representations  $\pi = \otimes_v \pi_v$  of  $G(\mathbb{A})$  such that  $\pi_{\infty}$  is a holomorphic discrete series representation with the lowest weight  $r/2$ ,  $\pi_p$  is unramified for all  $p \neq 2$ , and  $\pi_2$  contains a  $K_1(4)$ -fixed vectors. In particular,  $\pi_2^{\gamma} \neq 0$  for some central character  $\gamma$ . Note that  $\gamma$  is determined by  $r$ . Indeed, since the local components of  $\mathbf{s}_{\mathbb{Q}}(h(-1))$  for  $v \neq \infty, 2$  are contained in  $K_p$ ,  $\varphi(1) = \varphi(\mathbf{s}_{\mathbb{Q}}(h(-1))) = \gamma(-1)e^{i\pi r/2}\varphi(1)$  and we get  $\gamma(-1) = -i^r$ .

Let  $\mathcal{H}$  be the complex upper half plane. For  $\underline{g} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{R})$ ,  $g = (\underline{g}, \epsilon) \in G(\mathbb{R})$  and  $z \in \mathcal{H}$ , we define

$$gz = \underline{g}z = \frac{az + b}{cz + d}.$$

We define a holomorphic function on  $\mathcal{H}$  by

$$J(g, z) = J((\underline{g}, \epsilon), z) := \epsilon(cz + d)^{1/2}.$$

Here we choose  $w^{1/2}$  such that  $-\frac{\pi}{2} < \arg(w^{1/2}) \leq \frac{\pi}{2}$ . We call  $J(g, z)$  a *factor of automorphy*. By Lemma 3.3 in [G2], it satisfies  $J(gg', z) = J(g, g'z)J(g', z)$  for any two  $g$  and  $g'$  in  $G(\mathbb{R})$ .

Define a congruence subgroup  $\Gamma_0(4)$  by

$$\Gamma_0(4) := G(\mathbb{R}) \cap (\mathfrak{s}_{\mathbb{Q}}(\mathrm{SL}_2(\mathbb{Q})) \cdot K_0(4) \cdot \prod_{p \neq 2} K_p).$$

Similarly, define  $\Gamma_1(4) \subseteq \Gamma_0(4)$  by replacing  $K_0(4)$  with  $K_1(4)$ . Let  $S_{r/2}(\Gamma_0(4))$  and  $S_{r/2}(\Gamma_1(4))$  be the spaces of classical modular forms of weight  $r/2$ . By page 183 in [Kb],  $S_{r/2}(\Gamma_0(4)) = S_{r/2}(\Gamma_1(4))$ . We will denote this space by  $S_{r/2}(4)$ .

By Proposition 3.1 in [G2], there is a bijection  $Q : A_{r/2}(4) \rightarrow S_{r/2}(4)$  which we will recall below: Given  $\varphi \in A_{r/2}(4)$ , then

$$(Q\varphi)(z) = \varphi(g_{\infty})J(g_{\infty}, i)^r$$

where  $z = g_{\infty}i \in \mathcal{H}$ . Conversely, given  $f \in S_{r/2}(4)$ . Let  $g \in G(\mathbb{A})$ . By Lemma 3.2 in [G2],  $g = g_{\mathbb{Q}}g_{\infty}k$  for some  $g_{\mathbb{Q}} \in \mathfrak{s}_{\mathbb{Q}}(\mathrm{SL}_2(\mathbb{Q}))$ ,  $g_{\infty} \in G(\mathbb{R})$  and  $k \in K_1(4) \prod_{p \neq 2, \infty} K_p$ . Then

$$(Q^{-1}f)(g) = f(g_{\infty}(i))J(g_{\infty}, i)^{-r}.$$

Using the bijection  $Q$ , we define another bijection between the spaces of operators

$$\mathbf{q} : \mathrm{End}_{\mathbb{C}}(A_{r/2}(4)) \rightarrow \mathrm{End}_{\mathbb{C}}(S_{r/2}(4))$$

by  $\mathbf{q}(L) = QLQ^{-1}$ . Since the Hecke algebra  $H(\gamma)$  defined in Section 3 acts on  $A_{r/2}(4)$  it is of interest to reinterpret this action in terms of classical modular forms.

**Proposition 24.** *Let  $U_1$  and  $T_1$  be the operators in the local Hecke algebra  $H(\gamma)$  where  $\gamma(-1) = -i^r$ . Recall that  $\zeta = \frac{1-i^r}{\sqrt{2}}$ . For  $f(z) \in S_{r/2}(4)$ , we have*

$$\begin{aligned} (i) \quad (\mathbf{q}(U_1)f)(z) &= \bar{\zeta} (2z)^{-r/2} f\left(-\frac{1}{4z}\right) \text{ and} \\ (ii) \quad (\mathbf{q}(T_1)f)(z) &= 2^{-r/2} \sum_{u=0}^3 f\left(\frac{z+u}{4}\right). \end{aligned}$$

*Proof.* (i) Suppose  $\varphi = Q^{-1}(f) \in A_{r/2}(4)$ . For every place  $v$ , let  $w_v = w(2^{-1})$  be the element in  $G(\mathbb{Q}_v)$  defined in Section 2. By Proposition 3(iv),

$$(U_1\varphi)(g_{\infty}) = \int_{K_0 w_2 K_0} U_1(k)\varphi(g_{\infty}k)dk = U_1(w_2)\varphi(g_{\infty}w_2) = \bar{\zeta}\varphi(g_{\infty}w_2).$$

Next, consider  $\underline{w}(2^{-1})$  in  $\mathrm{SL}_2(\mathbb{Q})$ . By (2.30) in [G2],  $s_{\mathbb{Q}}(\underline{w}(2^{-1})) = \prod w_v$ . Since  $\varphi$  is left  $\mathrm{SL}_2(\mathbb{Q})$ -invariant, and right  $K_p$ -invariant for  $p \neq 2$ ,

$$\bar{\zeta}\varphi(g_{\infty}w_2) = \bar{\zeta}\varphi(s_{\mathbb{Q}}(\underline{w}(2^{-1}))^{-1}g_{\infty}w_2) = \bar{\zeta}\varphi\left(\left(\prod_{v \neq 2} w_v^{-1}\right)g_{\infty}\right) = \bar{\zeta}\varphi(w_{\infty}^{-1}g_{\infty}).$$

Applying  $Q$  to the above equation gives (i). Part (ii) is proved analogously.  $\square$

## 8. KOHNEN'S PLUS SPACE

Hecke eigenforms in  $S_{r/2}(4)$  correspond to cuspidal automorphic representations  $\pi$  such that  $\pi_\infty$  is a discrete series representation of lowest weight  $\frac{r}{2}$ ,  $\pi_p$  is unramified for all  $p \neq 2$ , and  $\pi_2$  has  $K_1(4)$ -fixed vectors. In particular,  $\pi_2^\gamma \neq 0$  for the central character  $\gamma(-1) = -i^r$ . If  $\pi_2$  is a principal series representation then  $\pi_2^\gamma$  is 2-dimensional and therefore the corresponding Hecke eigenspace in  $S_{r/2}(4)$  is also 2-dimensional. Kohnen's plus space is introduced to resolve this ambiguity. In terms of the space of automorphic functions  $A_{r/2}(4)$ , it is clear what to do. Decompose

$$A_{r/2}(4) = A_{r/2}^+(4) \oplus A_{r/2}^-(4)$$

where  $A_{r/2}^+(4)$  is the eigenspace of the local Hecke operator  $T_w$  with the eigenvalue 2, while  $A_{r/2}^-(4)$  is the eigenspace with the eigenvalue  $-1$ . Since a presence of the eigenvalue 2 for  $T_w$  acting on  $\pi_2$  eliminates a possibility that  $\pi_2$  is a Steinberg representation, we see that there is a one to one correspondence between Hecke eigenforms in  $A_{r/2}^+(4)$  and cuspidal automorphic representations  $\pi$  (as above) such that  $\pi_2$  is a  $\gamma$ -unramified representation. The classical Kohnen plus space is (essentially)  $Q(A_{r/2}^+(4))$  as it will be explained in a moment. Niwa defines two operators  $\mathbf{T}_4$  and  $\mathbf{W}_4$  on  $S_{r/2}(4)$  [Ni]:

$$(\mathbf{T}_4 f)(z) = \frac{1}{4} \sum_{u=0}^3 f\left(\frac{z+u}{4}\right) \quad \text{and} \quad (\mathbf{W}_4 f)(z) = (-2iz)^{-r/2} f\left(-\frac{1}{4z}\right).$$

Note that  $\mathbf{W}_4^2 = 1$ . Let  $\kappa = \frac{r-1}{2}$ . Niwa shows that the operator  $\mathbf{W} = (-1)^{\frac{(r^2-1)}{8}} 2^{1-\kappa} \mathbf{W}_4 \mathbf{T}_4$  on  $S_{r/2}(4)$  satisfies<sup>1</sup> the quadratic relation

$$(\mathbf{W} + 1)(\mathbf{W} - 2) = 0.$$

Kohnen defines  $S_{r/2}^+(4)$  and  $S_{r/2}^-(4)$  to be the eigenspaces of  $\mathbf{W}$  on  $S_{r/2}(4)$  of eigenvalues 2 and  $-1$  respectively [Ko]. Proposition 24 says that

$$\begin{cases} \mathbf{q}(U_1) = (-1)^{\frac{r^2-1}{8}} \mathbf{W}_4 \\ \mathbf{q}(T_1) = 2^{\frac{3}{2}-\kappa} \mathbf{T}_4 \end{cases}$$

where the sign  $(-1)^{\frac{r^2-1}{8}}$  is the quotient of  $\bar{\zeta} = \frac{1+i^r}{\sqrt{2}}$  and  $i^{\frac{r}{2}} = \left(\frac{1+i}{\sqrt{2}}\right)^r$ . Since  $T_w = \sqrt{2}^{-1} T_1 U_1$  it follows that  $\mathbf{q}(T_w)$  and  $\mathbf{W}$  are conjugates of each other by  $\mathbf{W}_4$ . Thus the Kohnen's plus space is simply a conjugate of our space:

$$Q(A_{r/2}^+(4)) = \mathbf{W}_4(S_{r/2}^+(4)).$$

Since  $\mathbf{W}_4$  commutes with the classical Hecke operators  $\mathbf{T}_{p^2}$  for  $p \neq 2$ ,  $Q(A_{r/2}^+(4))$  and  $S_{r/2}^+(4)$  are isomorphic as  $\mathbb{C}[\mathbf{T}_{3^2}, \mathbf{T}_{5^2}, \dots]$ -modules.

There is another description of  $S_{r/2}^+(4)$  in terms of Fourier coefficients. It consists of the cusp forms whose  $n$ -th Fourier coefficients vanishes whenever  $(-1)^\kappa n \equiv 2, 3 \pmod{4}$ .

<sup>1</sup>In Kohnen's paper [Ko], the operator is  $\mathbf{T}_4 \mathbf{W}_4$  acting on the right, ie  $\mathbf{T}_4$  acts first and  $\mathbf{W}_4$  follows.

Kohnen also defines a Hecke operator  $\mathbf{T}_4^+$  which preserves  $S_{r/2}^+(4)$  in the following way: For  $f(z) = \sum_n a_n q^n \in S_{r/2}^+(4)$ , we set  $(\mathbf{T}_4^+ f)(z) = \sum_n b_n q^n$  where the sum is taken over integers  $n > 0$  and  $(-1)^\kappa n \equiv 0, 1 \pmod{4}$ , and

$$b_n = a_{4n} + \left( \frac{(-1)^\kappa n}{2} \right) 2^{\kappa-1} a_n + 2^{r-2} a_{n/4}.$$

Here  $a_{n/4} = 0$  if  $n$  is not a multiple of 4. The large parenthesis denotes the Legendre symbol.

We can now formulate and prove our main global results.

**Theorem 25.** *There is a one to one correspondence between Hecke eigenforms  $f$  in  $S_{r/2}^+(4)$  and irreducible cuspidal automorphic representations  $\pi = \otimes_v \pi_v$  in  $L^2(\mathrm{SL}_2(\mathbb{Q}) \backslash G(\mathbb{A}))$  such that*

- (i)  $\pi_\infty$  is the discrete series representation of  $G(\mathbb{R})$  with the lowest weight  $\frac{r}{2}$ .
- (ii)  $\pi_p$  is unramified for all odd primes  $p$ .
- (iii)  $\pi_2$  is  $\gamma$ -unramified where  $\gamma(-1) = -i^r$ .
- (iv) If  $\mathbf{T}_4^+ f = \lambda_2 f$ , then a  $\gamma$ -spherical vector in  $\pi_2$  is an eigenvector for  $Z = \frac{T_1}{2} + (\frac{T_1}{2})^{-1}$  with the eigenvalue  $2^{1-\frac{r}{2}} \lambda_2$ .

Note that  $\lambda_2$  determines the eigenvalue of  $Z$  on a  $\gamma$ -spherical vector which in turn determines  $\pi_2$  uniquely by Corollary 20.

*Proof.* The first three statements are clear, since  $Q^{-1}(\mathbf{W}_4 f)$  is a Hecke eigenform in  $A_{r/2}^+(4)$  which is contained in a cuspidal automorphic representation  $\pi$  with these properties. It remains to show (iv). We need the following lemma.

**Lemma 26.** *Let  $f$  be in  $S_{r/2}^+(4)$ . Then  $\mathbf{T}_4^+ f = 2^{\frac{r}{2}-1} \mathbf{q}(Z) f$ .*

*Proof.* Recall that  $T_1$  is invertible by Proposition 8. Hence, it suffices to show that

$$2^{2-r/2} \mathbf{q}(T_1) \mathbf{T}_4^+ = \mathbf{q}(T_1^2 + 4).$$

If  $f(z) = \sum_{n=1}^\infty a_n q^n \in S_{r/2}^+(4)$  then, by Proposition 24,  $(\mathbf{q}(T_1) f)(z) = 2^{2-r/2} \sum_{n=0}^\infty a_{4n} q^n$ . Thus, if  $f(z) \in S_{r/2}^+(4)$ , then one computes

$$2^{2-r/2} (\mathbf{q}(T_1) \mathbf{T}_4^+ f)(z) = (\mathbf{q}(T_1^2 + 4) f)(z) = \sum_n (2^{4-r} a_{16n} + 4a_n) q^n.$$

This proves the lemma. □

Now we can finish the proof of Theorem 25. If  $\mathbf{T}_4^+ f = \lambda_p f$  then Lemma 26 implies that  $Q^{-1}(f)$  is an eigenform for  $Z$  with the eigenvalue  $2^{1-\frac{r}{2}} \lambda_2$ . Since  $\mathbf{W}_4 = (-1)^{\frac{r^2-1}{8}} \mathbf{q}(U_1)$  and  $Z$  commutes with  $U_1$ ,  $Q^{-1}(\mathbf{W}_4 f)$  is also an eigenform for  $Z$  with the same eigenvalue. This completes the proof of Theorem 25. □

If  $f$  is a Hecke eigenform in  $S_{r/2}^+(4)$  then by Theorem 1(ii) in [Ko] the corresponding Shimura lift  $f' = \mathrm{Sh}(f)$  is a Hecke eigenform in  $S_{r-1}(\mathrm{SL}_2(\mathbb{Z}))$ . Recall that  $G' = \mathrm{PGL}_2$ . There is a one to one correspondence between Hecke eigenforms  $f'$  in  $S_{r-1}(\mathrm{SL}_2(\mathbb{Z}))$  and



irreducible cuspidal automorphic representations  $\pi' = \otimes_v \pi'_v$  in  $L^2(G'(\mathbb{Q}) \backslash G'(\mathbb{A}))$  such that  $\pi'_\infty$  is a discrete series representation with the lowest weight  $r - 1$  and  $\pi'_p$  is unramified for all primes  $p$ . See Proposition 3.1 in [G1]. We recall the local Shimura lift  $\text{Sh}(\pi_2)$  in Proposition 11 of a  $\gamma$ -unramified representation  $\pi_2$  of  $G(\mathbb{Q}_2)$ . The following corollary gives a precise representation-theoretic description of the Shimura correspondence at the place  $p = 2$ .

**Corollary 27.** *Let  $f$  be a Hecke eigenform in  $S_{r/2}^+(4)$ .*

- (i) *Let  $\pi = \otimes_v \pi_v$  be the cuspidal automorphic representation corresponding to  $f$  in Theorem 25.*
- (ii) *Let  $\pi' = \otimes_v \pi'_v$  be the cuspidal automorphic representations of  $L^2(G'(\mathbb{Q}) \backslash G'(\mathbb{A}))$  corresponding to the Hecke eigenform  $f' = \text{Sh}(f)$  in  $S_{r-1}(\text{SL}_2(\mathbb{Z}))$ .*

*Then  $\text{Sh}(\pi_2) = \pi'_2$ .*

*Proof.* If  $\mathbf{T}_4^+ f = \lambda_2 f$  then by Theorem 1(ii) in [Ko],  $\mathbf{T}_2 f' = \lambda_2 f'$  where  $\mathbf{T}_2$  is the classical Hecke operator action on  $S_{r-1}(\text{SL}_2(\mathbb{Z}))$ . By Proposition 5.2.1 in [G1] one checks that  $\pi'_2$  is indeed isomorphic to  $\text{Sh}(\pi_2)$ .  $\square$

Let  $\pi$  be a cuspidal automorphic representation of  $G(\mathbb{A})$  as in Theorem 25 and  $\pi'$  be the corresponding cuspidal automorphic representation of  $G'(\mathbb{A})$  as in Corollary 27. By the Ramanujan conjecture, proved by Deligne,  $\pi'_2 = \text{Sh}(\pi_2)$  is a tempered irreducible unramified representation so  $\pi'_2 = I'(s)$  for some  $s \in i\mathbb{R}$ . This implies that  $\pi_2 = I(\gamma, s)$  by Theorem 22 and Corollary 23. In particular  $\pi_2^\gamma$  is an irreducible  $H(\gamma)$ -module of dimension 2. It corresponds under  $Q$  to a two dimensional subspace of  $S_{r/2}(4)$  spanned by a line in  $S_{r/2}^+(4)$  and a line in  $S_{r/2}^-(4)$ .

On the other hand, if  $\pi_2 = \text{St}(\epsilon)$  is a Steinberg representation of  $G(\mathbb{Q}_2)$  (see the definition before Corollary 18), then  $\pi$  corresponds under  $Q$  to an Hecke eigenform in  $S_{r/2}^-(4)$ . More precisely, we have the following theorem:

**Theorem 28.** *There is a one to one correspondence between Hecke eigenforms  $f$  in  $S_{r/2}^-(4)$  such that  $\mathbf{W}_4 f = -\epsilon(-1)^{\frac{r^2-1}{8}} f$ , for some  $\epsilon = \pm 1$ , and irreducible cuspidal automorphic representations  $\pi = \otimes_v \pi_v$  in  $L^2(\text{SL}_2(\mathbb{Q}) \backslash G(\mathbb{A}))$  such that*

- (i)  *$\pi_\infty$  is the discrete series representation of  $G(\mathbb{R})$  with the lowest weight  $\frac{r}{2}$ .*
- (ii)  *$\pi_p$  is unramified for all odd primes  $p$ .*
- (iii)  *$\pi_2$  is the Steinberg representation  $\text{St}(\epsilon)$ .*

*Proof.* Recall, by Corollary 21 that  $T_w$  and  $U_1$  act on one-dimensional space  $\text{St}(\epsilon)^\gamma$  by  $-1$  and  $-\epsilon$ . The theorem now follows from Proposition 24 and the definition of  $S_{r/2}^-(4)$ .  $\square$

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